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Julia A. Glazer 1,783  
Name of Attorney/Agent Registration No.  
Signature of Attorney or Agent

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AUG 21 2003  
TC 1700

P&G Case 7341

SAH  
#2  
8-22-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of :  
Kristen Lynne McKenzie, et al. : Confirmation No. 9667  
Serial No. 09/831,783 : Group Art Unit: 1744  
Filed: May 14, 2001 : Examiner: Laura C. Cole

For ULTRASONIC CLEANING DEVICE

SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, record is being made below in a Form PTO/SB08 of documents which the Patent Office may wish to consider in connection with examination of the above-identified patent application. It is respectfully requested that the cited documents be carefully considered by the Examiner and made of record in this case. As provided in §1.97(g), no representation is made or intended that a thorough art search was made. As provided in 37 C.F.R. §1.97(h), this Information Disclosure Statement does not constitute an admission of any kind, and specifically is not an admission that the documents listed on attached form PTO/SB08 are, or are considered to be, material to the patentability of the above-identified patent application, as defined in 37 C.F.R. §1.56(b).

This information disclosure statement is being submitted under 37 C.F.R. §1.97(c). Applicant(s) have not received a final action under 37 C.F.R. §1.113, a notice of allowance under 37 C.F.R. §1.311, or an action that otherwise closes prosecution in the application (e.g., *Ex parte Quayle*) as of the date of this submission. Applicant(s) elect to pay the fee set forth in 37 C.F.R. §1.17(p). Please charge the fee set forth in 37 C.F.R. §1.17(p) to Deposit Account Number 16-2480 in the name of The Procter & Gamble Company. A duplicate copy of this letter (or a fee transmittal form) is enclosed to facilitate the charging of the fee.

Copies of the cited documents are enclosed.

Respectfully submitted,

By



Julia A. Glazer  
Attorney for Applicants  
Registration No. 41,783  
(513) 627-4132

Date: August 14, 2003

Customer No. 27752

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# **FREE TRANSMITTAL for FY 2003**

Patent fees are subject to annual revision.

## **Complete if Known**

Application Number	09/831,783
Confirmation Number	9667
Filing Date	May 14, 2001
First Named Inventor	Kristen Lynne McKenzie
Examiner Name	Laura C. Cole
Group/Art Unit	1744
Attorney Docket No.	7341

TOTAL AMOUNT OF PAYMENT (\$ 180.00)

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## **METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

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Deposit Account Name The Procter &amp; Gamble Company

- ☒ Charge Any Additional Fee Required Under status. 37 C.F.R. §§1.16 and 1.17

## **FEE CALCULATION**

### **1. BASIC FILING FEE – Large Entity**

Code	(\$)	Fee Description	Fee Paid
1001	750	Utility filing fee	
1002	330	Design filing fee	
1004	750	Reissue filing fee	
1005	160	Provisional filing fee	

SUBTOTAL (1) (\$)

### **2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE – Large Entity**

Total Claims	Extra Claims	Fee from Below	Fee Paid
0 - 20** = 0 x 0 = 0			

Independent Claims 0 - 3\*\* = 0 x 0 = 0

Multiple Dependent 0 = 0

\*\* or number previously paid, if greater; For Reissues, see below

Code	(\$)	Fee Description
1202	18	Claims in excess of 20
1201	84	Independent claims in excess of 3
1203	280	Multiple dependent claim, if not paid
1204	84	**Reissue independent claims over original patent
1205	18	**Reissue claims in excess of 20 & over original patent

SUBTOTAL (2) (\$)

## **FEE CALCULATION (continued)**

### **3. ADDITIONAL FEES**

Code	(\$)	Fee Description	Fee Paid
1051	130	Surcharge-late filing fee or oath	
1052	50	Surcharge-late provisional filing fee or cover sheet	
1053	130	Non-English specification	
1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	Requesting publication of SIR prior to Examiner's action	
1805	1,840*	Requesting publication of SIR after Examiner's action	
1251	110	Extension for reply within 1 <sup>st</sup> month	
1252	410	Extension for reply within 2 <sup>nd</sup> month	
1253	930	Extension for reply within 3 <sup>rd</sup> month	
1254	1,450	Extension for reply within 4 <sup>th</sup> month	
1255	1,970	Extension for reply within 5 <sup>th</sup> month	
1401	320	Notice of Appeal	
1402	320	Filing a brief in support of an appeal	
1403	280	Request for oral hearing	
1451	1,510	Petition to institute a public use proceeding	
1452	110	Petition to revive - unavoidable	
1453	1,300	Petition to revive - unintentional	
1501	1,300	Utility issue fee (or reissue)	
1502	470	Design issue fee	
1460	130	Petitions to the Commissioner	
1807	50	Petitions related to provisional applications (37 C.F.R. 1.17(q))	
1806	180	Submission of Information Disclosure Statement	<input checked="" type="checkbox"/>
1809	750	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	750	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	750	Request for Continued Examination (RCE)	
1802	900	Request for expedited examination of a design application	
1454	1300	Acceptance of unintentionally delayed claim for priority under 35 U.S.C. 119, 120, 121, or 365 (a) or (c)	
Other fee (specify)			
Other fee (specify)			

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 180)

## **SUBMITTED BY**

Name (Print/Type) Julia A. Glazer

Registration No. 41,783

## **Complete (if applicable)**

Telephone (513) 627-4132

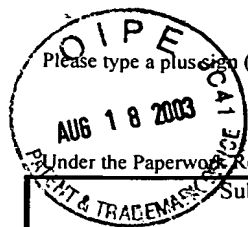
Signature

Date

August 14, 2003

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PTO/SB08A/B (04-03)

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Substitute for form 1449A/PTO

COMPLETE IF KNOWN

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

SHEET 1 of 1

Application Number	09/831,783
Confirmation Number	9667
Filing Date	May 14, 2001
First Named Inventor	Kristen Lynne McKenz
Group Art Unit	1744
Examiner Name	Laura C. Cole
Attorney Docket Number	7341

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### U. S. PATENT DOCUMENTS

EXAMINER INITIALS*	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
	1	5,350,457	Sept. 27, 1994	Kitazawa, et al.	
	2	5,372,741	Dec. 13, 1994	Tomaszewski	
	3	5,770,550	Jun. 23, 1998	Motson	

### FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS*	Cite No. <sup>1</sup>	FOREIGN PATENT DOCUMENT Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	4	DE 3,610,386 A1	Oct. 1, 1987	Meffert, et al.		X
	5	GB 2,204,321 A	Nov. 9, 1988	Chavannes		
	6	WO 97/16263	May 9, 1997	Hansmann, et al.		X

### NON PATENT LITERATURE DOCUMENTS

EXAMINER INITIALS*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>6</sup>

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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